Dkt. 0575/50634-B/J

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eric Rose et al.,

Serial No.:

09/053,872

Examiner: Jeffrey Russel

Filed

: April 1, 1998

Group Art Unit: 1653

For

METHODS FOR INHIBITING THROMBOSIS IN PATIENT

WHOSE BLOOD IS SUBJECTED TO EXTRACORPOREAL

CIRCULATION

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May 6, 2002

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Sir:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(e) and submitted in connection with the above-identified application, has the same information as the "Sequence Listing," attached as ${\tt Exhibit E}$ and contains no new matter.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such Applicants: Eric Rose et al. U.S. Serial No.: 09/053,872

Filed: April 1, 1998

Page 2

wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Armand L. Balboni

c/o Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

(212) 278-0400

In re Application of: Eric Rose, et al.

RECEIVE

Serial No.: 09/053,

Examiner : J. Russel

MAY 1 5 2002

Filed: April 1, 1998

Group Art Unit: 1653

TECH CENTER 1600/2000

For:

METHODS FOR INHIBITING THROMBOSIS IN A PATIENT WHOSE BLOOD IS SUBJECTED TO EXTRACORPOREAL CIRCULATION

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS

May 6, 2002

Washington, D.C. 20231

SIR:

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Transmitted herewith is an amendment to the above-identified application.

X	Small entity status of this application under 37 C.F.R.
	§1.9 and §1.27 has been established by a verified
	statement previously submitted.
	A verified statement to establish small entity status
	under 37 C.F.R. §1.9 and §1.27 is enclosed.

.

____ No additional fee is required.

The filing fee is calculated as follows:

	number After Amend - Ment		HIGHEST NUMBER			NUMBER OF EXTRA		RATE	PRE			PE
C.S.			PRE	VIOUSLY D FOR		CLAIMS PRESENTED		SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	3	-	*	38	=	0	х	\$9	\$18	=	\$ ⁰	0
Indepen- dent Claims	2	-	**	8	=	0	х	\$42.00	\$84.00	=	0	0
Multiple Claim(s) For First	Presented		Y	es <u>X</u>	No			\$140	\$280	0		
								TOTAL A	DDITIONA	<u> </u> L	. 0	<u> </u>

FRE

*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

**If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

**If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in th space. Applicants: Eric Rose, et al. Serial No.: 09/053,872

Filed: April 1, 1998

Amendment Transmittal Letter Page 2

> The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

 Please	charge	Deposit	Account	No
in the	amount	of \$	·	

- X A check in the amount of \$ 460.00 is enclosed. For a Three- Month Extension of Time.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125 . Three copies of this sheet are enclosed.
 - X Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.
 - X Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

hereby certify that this correspondence is being deposited this date with the U.S. postal Service with sufficient postage as first class mail in an envelope addressed to:

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327

Arlington VA /22202

John P. White Reg. No. 28,678 Date

John (P. White Registration No. 28,678 Attorney for Applicants Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

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Applicant

Eric Rose, et al.

U.S. Serial No.:

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Examiner: J. Russel

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For

METHODS FOR INHIBITING THROMBOSIS IN A

PATIENT WHOSE BLOOD IS SUBJECTED TO

EXTRACORPOREAL CIRCULATION

1185 Avenue of the Americas New York, New York 10036 May 6, 2002

U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327 Arlington VA 22202

SIR:

LETTER WITH PROPOSED DRAWING CHANGE

Applicants proposed to amend Figure 5A in the above-identified application as indicated in red on the copy of the drawing sheet 7/11 attached hereto as Exhibit D.

Specifically, applicants propose following changes:

Amend Figure 5A to read "CaCl₂"

Applicants: Eric Rose et al.,

Serial No.: 09/053,872 Filed: April 1, 1998

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Upon approval of the herein-proposed drawing changes and the issuance of a Notice of Allowance, applicants will attend to submitting corrected formal drawings in accordance with present Office practices.

Respectfully submitted,

John P. White

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